TAX COMPLIANCE - INTERNATIONAL EXCHANGE OF INFORMATION AGREEMENT INDIVIDUAL SELF - CERTIFICATION FORM

Tax regulations require the collection of certain information about each account holder's tax residency and citizenship status.

This form is designed tocapture your citizenship and residency for tax purposes.

If you are a US citizen or resident of the US for tax purposes under US Internal Revenue Service ("IRS") regulations you should also provide a completed, signed and dated IRS Form-W 9. If you have any questions about how to complete this form, please contact your tax advisor.

Part 1– Customer Identification

Name of Account Holder:

Title:

First or Given Name:

Middle Name(s):

Family Name or Surname(s):

Type your text

Permanent Residential Address:

House number/name & Street:

City:

Postal Code:

Country:

Place (City/Town) and Country of Birth:

Date of birth: ☐☐ / ☐☐ / ☐☐

Name ofAdditional Account Holder:

Title:

First or Given Name:

Middle Name(s):

Family Name or Surname(s):

Permanent Residential Address:

House number/name & Street:

City:

Postal Code:

Country:

Place (City/Town) and Country of Birth:

Date of birth: ☐☐ / ☐☐ / ☐☐

AccountDetails– (for Existing ICICI Bank UK Plc Account Holders)

Cust ID (Customer Identification Number):

Account Number(s) :

:

Part 2– Tax Residency/Citizenship Information (continue on a separate sheet if necessary)

For the purposes of taxation please provide the following information regarding your citizenship and country/countries of residence for tax purposes. Additionally, please confirm your Tax Identificati on Number (TIN) or functional equivalent for each country or indicate (if applicable) that a TIN/functional equivalent is unavailable:

Account Holder:

Are you a US Citizen? Yes ☐ No ☐

If yes, in addition to completing this form, please provide a completed IRS W9 form.

Additional Account Holder:

Are you a US Citizen? Yes ☐ No ☐

If yes, in addition to completing this form, please provide a completed IRS W9 form.

Please confirm your country/countries of residence for tax purposes and TIN/functional equivalent as available .

Account Holder

Country of Tax Residency	Taxpayer Identification Number * (TIN)	Reasons for not providing TIN (enter reason A, B or C	If reason B is selected, please explain why you are unable to obtain a TIN

* The Taxpayer Identification Number (TIN) is the unique identifier assigned to you by the tax administration in the jurisdiction of tax residence. It is a unique combination of letters and/or numbers used to identify an individual or entity for the purposes of administering the tax laws of that jurisdiction. It includes:	Reasons for not providing TIN (enter reason A, B or C) **Reason A** The country where the Account Holder is tax resident does not issue TINs to its residents **Reason B** The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are

• Social security number; • National insurance number; • Citizen or personal identification code or number; or • Resident registration number	unable to obtain a TIN in the table above if you have selected this reason) **Reason C** No TIN is required. (Note: Only select this reason if the authorities of the country of tax residence entered above do not allow the TIN to be disclosed to third parties

Additional Account Holder

Country of Tax Residency	Taxpayer Identification Number * (TIN)	Reasons for not providing TIN (enter reason A, B or C	If reason B is selected, please explain why you are unable to obtain a TIN

* The Taxpayer Identification Number (TIN) is the unique identifier assigned to you by the tax administration in the jurisdiction of tax residence. It is a unique combination of letters and/or numbers used to identify an individual or entity for the purposes of administering the tax laws of that jurisdiction. It includes: • Social security number; • National insurance number; • Citizen or personal identification code or number; or • Resident registration number	Reasons for not providing TIN (enter reason A, B or C) **Reason A** The country where the Account Holder is tax resident does not issue TINs to its residents **Reason B** The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the table above if you have selected this reason) **Reason C** No TIN is required. (Note: Only select this reason if the authorities of the country of tax residence entered above do not allow the TIN to be disclosed to third parties

Part 3– Authorisations and Undertakings

1. I/We authorise the Recipient to provide, directly or indirectly, to any relevant tax authorities or any party authorised to audit or conduct a similar control of the Recipient for tax purposes, a copy of this form and to disclose to such tax authorities or such party any additional information that the Recipient may have in its possession that is relevant to my qualification for any benefits claimed on the basis of this Declaration. I acknowledge and agree that information contained in this form and information regarding income paid or credited to or for the benefit of the account(s) set out above may be reported to the tax authorities of the country in which such income arises and that those tax authorities may provide the information to the country or countries in which I am a resident for tax purposes.

2. I/We authorise the Recipient to provide, directly or indirectly, a copy of this form and information regarding income paid or credited to or for the benefit of the account (s) set out above to: (i) any person that has control, receipt, or custody of income to which this form relates; (ii) any person that can disburse or make payments of income to which this form relates; or (iii) any party authorised to audit or conduct a similar control of aforementioned persons for tax purposes

3. I/We understand that the Bank is relying on this information for the purpose of determining the status of the applicant named above in compliance with the tax regulations *. The Bank is not able to offer any tax advice on the tax regulations * or the impact on the applicant. I/we should seek advice from professional tax advisor for any tax questions.

4. I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.

5. I/We agree that as may be required by domestic or overseas regulators/tax authorities the Bank may also be constrained to withhold and pay out any sum from my account or close or suspend my account.

6. I/We declare that all statements made in this declaration are, to the best of our knowledge and belief, correct and complete.

* The term “tax regulations” refers to regulations created to enable automatic exchange of information and includes: the Foreign Account Tax Compliance Act; various agreements to improve international tax compliance entered into between the UK, the Crown Dependencies and the Overseas Territories and the OECD Common Reporting Standard for Automatic Exchange of Account Information as implemented in the relevant jurisdictions.

Sign Here:

Print name:

Date: _____

Office Use

Option	US Indicia
☐	US citizen or resident
☐	US place of birth
☐	Current US mailing or residence address (including PO Box)
☐	Current US telephone number
☐	Current effective power of attorney or signatory authority granted to a person with a US address
☐	A US 'in care of' or 'hold mail' that is the sole address the Financial Institution holds for the account holder
☐	StandingInstruction (SI) to transfer funds to an account maintained in US

If US Indicia is confirmed, obtain a completed IRS form W-9 confirming US tax residency/US citizenship) or W-8BEN to cure the identified indicia.

Supporting Documents		
A-Passport	B- Armed Forces identity card	C- Shotgun certificate issued by a UK police authority
D- National identity card	E- A Certificate of Residence issued by an appropriate tax official of your country of residence (for example a certificate in relation to a person's UK tax residence issued by HMRC.).	F- Any valid identification issued by an authorised Government body (forexample, a government or agency thereof, or a municipality), that includes the individual's name and is typically used for identification purposes.
G-Driving License	H- Any financial statement, third party credit report, bankruptcy filing, or US Securities and Exchange Commission report.	

Account opening form scrutinized by:

Employee No.:

Signature of Employee: